

*Research Article*

## Diel variation in the vertical distribution of fish larvae forced by upwelling filaments off Punta Angamos (northern Chile)

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**ABSTRACT.** The role of daily vertical migration (DVM) of ichthyoplanktonic associations off Punta Angamos, in northern Chile, was examined. In September 1998 and January 1999, two oceanographic surveys were conducted during coastal upwelling events. Fish larvae were collected during the day/night in three depth strata (0-20, 20-80 and 80-200 m) and inside/outside of upwelling filaments. The study area was dominated by a southward flow along 200 m depth; meanwhile, the Ekman layer did not exceed 20 m depth. The greatest accumulation of larvae was found in the middle layer (20-80 m), where the current velocity was low and did not interact with the circulation associated with upwelling the filament formation. Most fish larvae were found in non-upwelling waters in the middle stratum. Independent of the origin of water (upwelling/non-upwelling), larvae of *Diogenichthys laternatus* (Myctophidae), *Engraulis ringens* (Engraulidae) and *Bathylagus nigrigenys* (Bathylagidae) showed a deeper vertical distribution during the day, suggesting a daily vertical migration. This behavior can potentially increase retention of larvae near the coast in productive areas through vertical evasion of the Ekman layer during upwelling events.

**Keywords:** fish larvae assemblages, vertical migratory behaviour, upwelling filament, northern Chile.

### Variación diaria en la distribución vertical de larvas de peces forzada por filamentos de surgencia frente a Punta Angamos (norte de Chile)

**RESUMEN.** Se analizó el papel de la migración vertical diaria (DVM) en las asociaciones ictioplanctónicas frente a Punta Angamos (23°29'S, 70°59'W) norte de Chile. En septiembre de 1998 y enero de 1999 se realizaron dos campañas oceanográficas durante eventos de surgencia costera. Las larvas de peces fueron recolectadas durante el día/noche en tres estratos de profundidad (0-20, 20-80 y 80-200 m) y dentro/fuera de filamentos de surgencia. El área de estudio estuvo dominada por un flujo hacia el sur a lo largo de 200 m de profundidad, mientras que la capa de Ekman no excedió los 20 m. La mayor acumulación de larvas se encontró en el estrato medio (20-80 m), donde la velocidad de las corrientes fue baja y no interactuó con la circulación asociada a la formación de filamentos de surgencia. La mayoría de las larvas de peces fueron encontradas en aguas de no-surgencia en el estrato medio. Independiente del origen del agua (surgencia/no-surgencia), las larvas de *Diogenichthys laternatus* (Myctophidae), *Engraulis ringens* (Engraulidae) y *Bathylagus nigrigenys* (Bathylagidae) mostraron una distribución vertical más profunda durante el día que por la noche, lo que sugiere una migración vertical diaria. Este comportamiento de las larvas puede potencialmente aumentar la retención cerca de la costa en áreas productivas mediante la evasión vertical de la capa de Ekman durante eventos de surgencia.

**Palabras clave:** asociaciones ictioplanctónicas, comportamiento migratorio vertical, filamentos de surgencia, norte de Chile.

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### INTRODUCTION

The interaction between vertical distribution, migration and hydrodynamic processes is of special importance to the horizontal distribution of fish larvae in coastal upwelling regions and adjacent areas (Parrish *et al.*, 1981; Norcross & Shaw, 1984; Olivar, 1990; Smith &

Suthers, 1999). Recent studies in the Gulf of California have shown the importance of the thermocline and the mixed layer for the vertical distribution of fish larvae (Danell-Jiménez *et al.*, 2009; Inda-Díaz *et al.*, 2010). Fish eggs and larvae with near-surface distribution are more susceptible to the offshore transport in the Ekman layer associated with coastal upwelling (John & Re,

1995; Smith & Suthers, 1999; Rojas *et al.*, 2002). In the vertical plane, current speed is of a magnitude that allows fish larvae and other planktonic organisms to conduct vertical migrations through the water column (Munk *et al.*, 1989; Pringle, 2007). As the intensity of currents can vary along the water column, the vertical distribution may affect the horizontal dispersal of fish larvae and thus the drift of larval cohorts to their nursery areas.

Fish larvae migrate vertically at two temporal scales: they accompany most of zooplankton in its diel or subdiel (*e.g.*, tidal) migration and their mean preferred depth also seems to change as they develop (Fortier & Harris, 1989; Paris & Cowen, 2004; Leis *et al.*, 2005). The most common pattern of larval migration is the movement toward the top of the water column during the night, and the descent toward deeper waters during daytime (Tsukamoto *et al.*, 2001). However, the reverse pattern has also been seen (Brodeur & Rugen, 1994), as well as fish larvae disperse during the night and aggregate in daytime (Brewer & Kleppel, 1986; Munk *et al.*, 1989).

The vertical distribution of zooplanktonic organisms has been observed to correlate with many environmental factors, such as light intensity (Job & Bellwood, 2000; Guizien *et al.*, 2006), temperature (Annis, 2005), or the depth of pycnocline (Munk *et al.*, 1989), thermocline (Boehlert *et al.*, 1992; Annis, 2005) and chlorophyll maximum (Lampert *et al.*, 2003). In most cases, these correlations relate to diel or subdiel movements and presumably result from a trade-off among reaching high concentrations of food near the surface or around the clines (Munk *et al.*, 1989) and avoiding surface-dwelling visual predators (Fiksen & Giske, 1995), and cold water at depth which slows down growth (Lampert *et al.*, 2003). The advantages of the vertical migration are generally proposed to be light-related predator avoidance (Yamashita *et al.*, 1985), the pursuit of zooplankton prey (Fortier & Leggett, 1983; Munk *et al.*, 1989), facilitation of larval transport in varying tidal currents (Hare & Govoni, 2005), optimization of the energetic advantage gained by larvae at certain depths in thermally stratified water (Neilson & Perry, 1990), pursuit of optimum light conditions for larval survival (Hurst *et al.*, 2009), rhythms of swim bladder inflation (Stenevik *et al.*, 2007), and a strategy to guarantee their retention in shallow waters, the latter of crucial importance in upwelling regions. In upwelling areas, the diel vertical position of fish larvae determines if they are retained in shallow and productive waters or advected offshore, and larvae with near-surface distributions are more susceptible to offshore transport associated with coastal upwelling than deeper distributions that render larvae

to shoreward transport (Rodríguez, 1990; Hernández-León *et al.*, 2007).

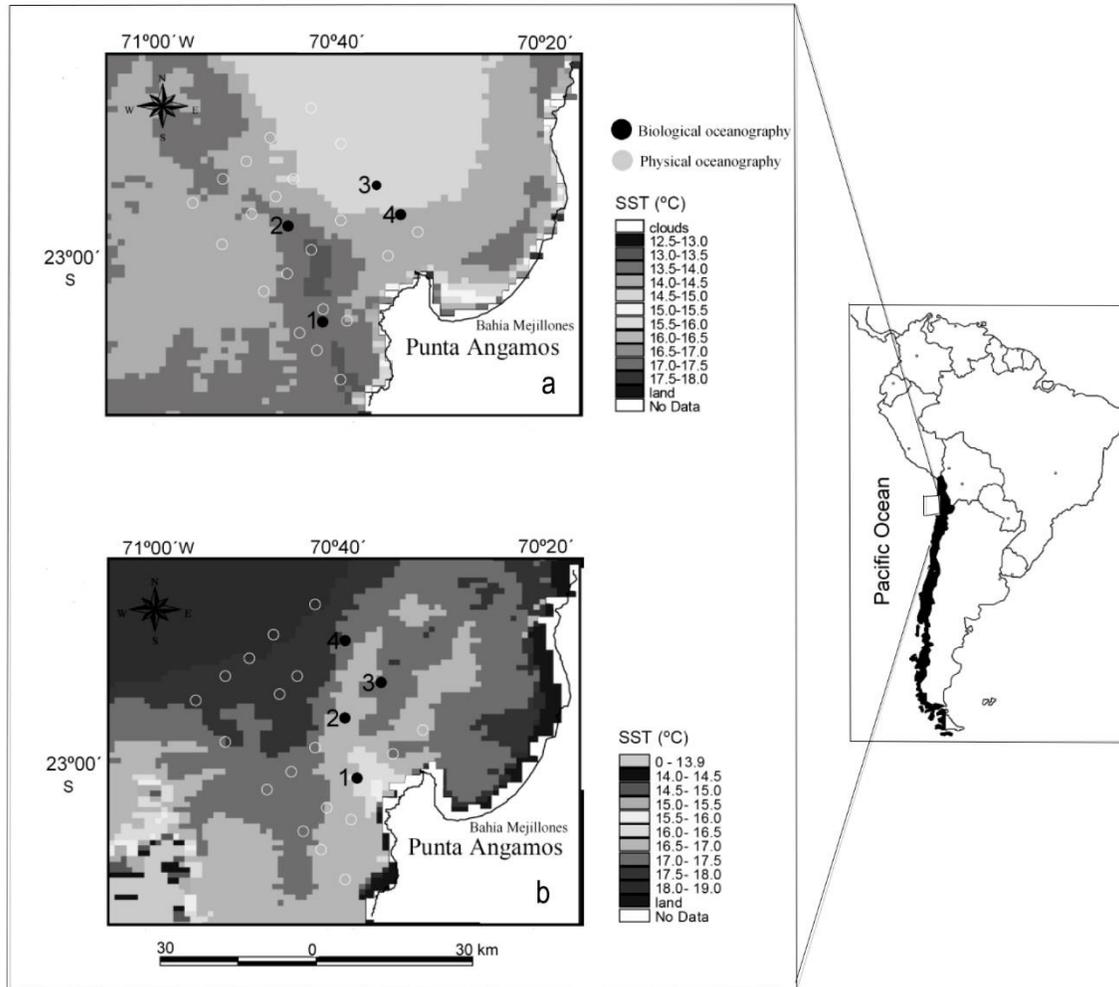
Eggs and fish larvae may be subjected to intense alongshore and cross-shelf flow in upwelling ecosystems, such that the ability to develop or adopt retention mechanisms near the upwelling centres may play a relevant role to maintain local populations (Wing *et al.*, 1998; Pringle, 2007) and hence successful recruitment. Among proposed retention mechanisms, diel vertical migration (DVM) through the use of reversing vertical flows (Peterson, 1998; Morgan & Fisher, 2010) has been hypothesized. At the coastal zone of the Peninsula de Mejillones and Bahía Mejillones (23°05'S), it is usual the presence of coastal upwelling of cold-waters rich in nutrients (Marín *et al.*, 1993, 2001). However, this upwelled nutrient-rich cold waters also produces dispersal of herbivorous planktivore populations, increasing the fish larval transport offshore to areas with reduced food availability. Associated to northern Chile upwelling system, a shallow oxygen minimum layer (<0.5 mL L<sup>-1</sup>) within the euphotic zone might impose a strict physiological limit for vertical movement (Morales *et al.*, 1996; Giesecke & González, 2004), forcing aggregation of planktonic organisms in the upper 50 m layer (Escribano & Hidalgo, 2000).

The local upwelling focus off Peninsula de Mejillones generates changes in the circulation patterns that modify the advective environment, inducing the mixing and transport of meso- and holoplanktonic organisms (Olivares, 2001; Rojas *et al.*, 2002). Thus, the development of an upwelling plume also induces abrupt changes on physical conditions of the water column. Along with a deepening of the mixed layer, surface waters are subjected to an abrupt cooling, which is accompanied by low oxygen concentration. These changes, taking place mostly inside the upwelling plume, might also influence larval vertical distribution. However, the inherent characteristics of each species may show inter-specific and ontogenetic larval differences. The objective of this article is to evaluate the role of diel vertical distributions and ontogenetic migrations of fish larvae as behaviours responsible of nearshore retention during cold water filaments development off Punta Angamos, northern Chile.

## MATERIALS AND METHODS

### Sampling design and procedures

Oceanographic data and plankton samples were collected during September 1998 and January 1999 off Punta Angamos (23°29'S, 70°59'W) (Fig. 1). A grid of 23 stations was quasi-synoptically sampled (26 h) on



**Figure 1.** AVHRR images of sea surface temperature illustrating the cold upwelling plumes and location of oceanographic stations (physical/biological) on cruises from a) 9 September 1998, b) 11 January 1999 off Punta Angamos.

board the R/V Purihaalar from the Universidad de Antofagasta. At each station, vertical profiles of temperature ( $^{\circ}\text{C}$ ), salinity and dissolved oxygen ( $\text{mL L}^{-1}$ ) were carried out from surface to 200 m depth with a SeaBird SBE-19 conductivity temperature depth (CTD), equipped with a calibrated YSI Beckman oxygen sensor. Current profiles were measured with the vessel anchored at each station using a Doppler sensor current meter (Aanderaa RCM-9). In addition, fluorescence profiles were obtained from 100 m to surface, using a Wetstar fluorometer attached to an Ocean Sensor CTD. Fluorescence units were converted to Chl-*a* concentration by a linear algorithm derived from an *in situ* calibration (Escribano & McLaren, 1999). Four fixed stations were used for ichthyoplankton sampling: two inside and two outside the upwelling plume, in accordance with a satellite NOAA image of sea surface temperature (SST) obtained one

day before the start of the sampling, on September 9 (1998) and January 11 (1999), respectively (Fig. 1). Detailed information on satellite-derived SST is described in Marín *et al.* (2001). The following two days after the oceanographic grid was finished, fish larvae were sampled again at four stations during two daytime and two night-time periods. This design considered upwelling/non-upwelling conditions as the main treatment (*i.e.*, inside/outside the cold plume) and variability introduced by daytime/night-time effect, as well as temporal changes after 24 h. Diel changes in vertical distribution of fish larvae were evaluated by sampling three depth strata each time: 200-80, 80-20 and 20-0 m. According with Escribano *et al.* (2001), during upwelling events the upper 20 m of the water column represent the Ekman layer, the 80-20 m stratum shows a reversal flow, and the 200-80 m stratum is a deep and more stable layer.

### Ichthyoplankton sampling and analysis

Ichthyoplankton was captured using a vertically towed Hensen net with 0.5 m opening, 200  $\mu\text{m}$  mesh, equipped with a double opening-closing system, and a calibrated General Oceanic flowmeter. Samples were preserved in 4% buffered formalin. To detect changes in the water column during the ichthyoplankton sampling, the CTD and fluorometer were also deployed as described above at each of the four stations in the next two days. In the laboratory, all fish larvae were removed for counting and identified to the lowest taxonomic level using descriptions by Fahay (1983), Moser (1996), and Neira *et al.* (1998). Larvae were separated into two ontogenetic groups according to the bending of the notochord, preflexion and postflexion larvae (larvae in flexion stage were included in postflexion larvae). The number of individuals collected in the different sampling strata was standardized to number  $\text{unit}^{-1}$  of volume of filtered water (densities): 1000  $\text{m}^3$  for fish larvae (densities). Average vertical distributions were calculated using all the stations. Fish larvae caught in the different sampling strata were also integrated to obtain the number of individuals 10  $\text{m}^{-2}$  of sea surface (abundances).

### Data analysis

The effect of diel distribution of selected taxa of larval fish was analyzed through weighted mean depth (WMD) as the density centre according (Heath *et al.*, 1991; Gronkjaer & Wieland, 1997):

$$WMD = \sum_{i=1}^n p_i Z_i = \frac{\sum_{i=1}^n C_i Z_i}{\sum_{i=1}^n C_i}$$

where  $p_i$  and  $C_i$  are, respectively, the proportion and the concentration fish larvae (number 1000  $\text{m}^{-3}$ ) in the  $i^{\text{th}}$  stratum, and  $Z_i$  is the mid-depth of the  $i^{\text{th}}$  stratum.

The non-parametric Kruskal–Wallis test (Sokal & Rohlf, 1985; Siegel & Castellón, 1988) was used to assess the effects of upwelling *vs* non-upwelling locations (inside and outside the upwelling plume), the statistical significance of differences in total larval abundance between daytime and nighttime, and among the different depth strata. Because of low occurrence for many species, Kruskal–Wallis test was not used for each separated taxa. To assess short-term variability, we analysed changes in oceanographic conditions and total larval abundance at stations separated by 12 h intervals.

A nonparametric multivariate procedure (BIO-ENV) was used to analyze the relationship between select environmental variables and larval community.

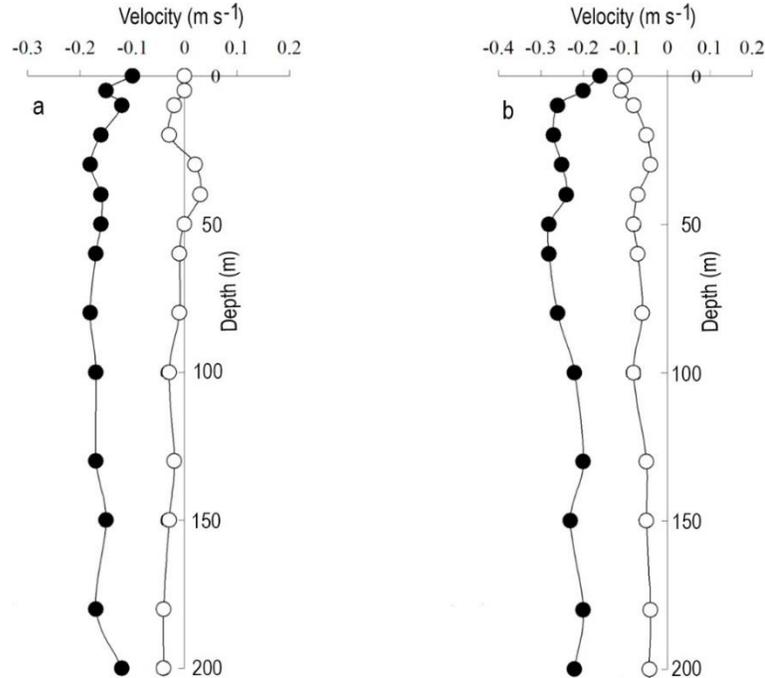
The details of the BIO-ENV algorithm and its suitability for use in analyzing the interactions of biological and environmental data are described by Clarke & Gorley (2001) and Clarke & Warwick (2001). A similarity matrix of depth-stratified samples by larval taxa (61 samples  $\times$  15 taxa) was also performed. This matrix was analyzed in association with three environmental variables: mean depth (m), mean temperature ( $^{\circ}\text{C}$ ), and mean dissolved oxygen ( $\text{mL L}^{-1}$ ) of each depth-stratified sample. The BIO-ENV analyses were performed by using the Spearman rank correlation method on the normalized Euclidean distance similarity matrices of the log ( $n+0.1$ )-transformed, nonstandardized environmental variables by depth-stratified samples (Clarke & Gorley, 2001). All diversity, cluster and BIO-ENV analyses were performed by using PRIMER statistical software.

## RESULTS

### Mesoscale features off Punta Angamos during austral spring and summer

The NOAA satellite images showed, in September 1998, a well-developed upwelling filament with cold surface waters below  $14^{\circ}\text{C}$  (Fig. 1a). Conversely, in January 1999 a weak upwelling filament was seen with surface temperatures near  $15^{\circ}\text{C}$  (Fig. 1b). Chlorophyll-*a* showed spatial heterogeneity off Punta Angamos. In September 1998, high concentrations of Chl-*a* ( $>2.0 \text{ mg m}^{-3}$ ) were recorded southward of Punta Angamos. In January 1999, high chlorophyll levels ( $>5.0 \text{ mg m}^{-3}$ ) were found northward from upwelled focus. In September 1998, the average values of SST showed significant differences ( $F_{[1,6]} = 40.39$ ;  $P < 0.01$ ) between upwelled and non-upwelled zones (inside and outside the filament). Similarly, significant effects ( $F_{[1,6]} = 9.60$ ;  $P < 0.05$ ) were seen in surface chlorophyll values in both locations. Contrary, the average values of SST in January 1999 showed non significant differences ( $F_{[1,6]} = 0.346$ ;  $P > 0.05$ ) between both sites (inside/outside), nor in the average surface chlorophyll values ( $F_{[1,6]} = 0.089$ ;  $P > 0.05$ ).

In September 1998, velocity profiles showed a southward flow throughout the water column, and a typical structure across shore of an upwelling event composed by three layers, a westward surface layer (Ekman layer, 0–25 m depth), a subsurface eastward layer (25–50 m) and a deep layer (50–200 m) flowing westward (Fig. 2a). In January 1999 the water column showed the predominance of a southward and westward flow throughout the water column (Fig. 2b). In both cruises low speeds ( $<0.2 \text{ m s}^{-1}$ ) were measured between surface and 20 m depth, increasing to values of  $\sim 0.3 \text{ m s}^{-1}$  below that depth.



**Figure 2.** Velocity profiles from stations sampled (inside/outside) upwelling filament off Punta Angamos during a) September 1998, and b) January 1999. Dark circles correspond to alongshore component, open circles to the across-shore component. Values (-) southward flow; values (+) northward flow.

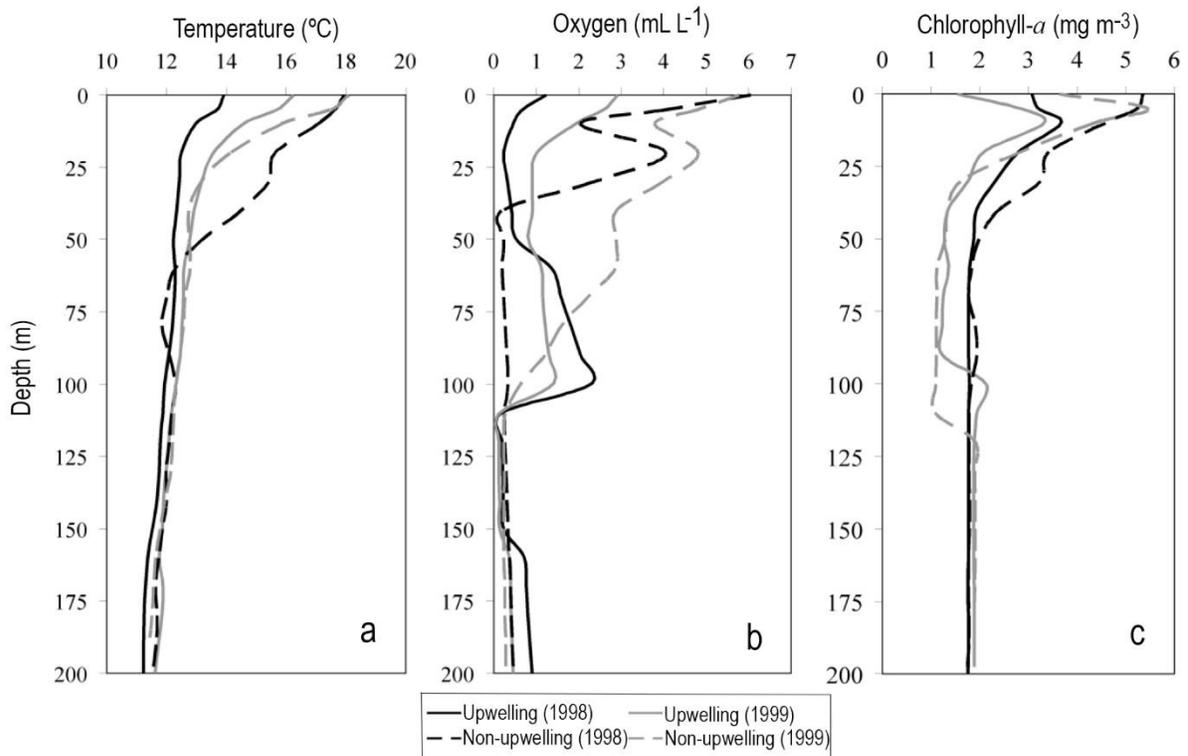
In September 1998 differences of  $\sim 4^{\circ}\text{C}$  were seen in SST maps inside and outside the upwelling filament (Fig. 3a). Temperature profile at upwelled waters showed smooth vertical gradients in surface waters ( $0.1^{\circ}\text{C m}^{-1}$  in the first 10 m of the water column), while outside the filament (non-upwelling) thermocline creates strong stratification in the first 50 m depth. Differences in the concentration of dissolved oxygen in surface waters were also evident inside and outside the upwelling filament ( $<1.5 \text{ mL L}^{-1}$  vs  $2.0\text{--}6.0 \text{ mL L}^{-1}$ , respectively, Fig. 3b). From the 50 m depth oxygen values decreased below  $\sim 0.2\text{--}0.4 \text{ mL L}^{-1}$  in non-upwelling waters. Inside the filament, subsurface waters (50–100 m) showed an increase in its dissolved oxygen concentration ( $\sim 2.0 \text{ mL L}^{-1}$ ) (Fig. 3b). Chlorophyll-*a* profiles showed differences of  $\sim 2.0 \text{ mg m}^{-3}$  at the surface layer inside and outside the upwelling filament; a subsurface chlorophyll peak ( $>3.0 \text{ mg m}^{-3}$ ) was detected in the filament; however, in the mixed layer the concentration of Chl-*a* was larger out the filament ( $3.0\text{--}5.2 \text{ mg m}^{-3}$ ). Below 50 m depth chlorophyll concentration decreased to  $\sim 2.0 \text{ mg m}^{-3}$  in both water types (Fig. 3c).

In January 1999, SST maps showed differences less than  $2^{\circ}\text{C}$  inside and outside the upwelling filament, and temperature profiles were similar in the vertical

structure but with higher temperatures in non-upwelling surface waters (Fig. 3a). Water column outside the upwelling filament showed higher amount of dissolved oxygen in the top 75 m (Fig. 3b) and chlorophyll in the top 25 m, compared with values obtained inside the filament during austral summer (Fig. 3c).

#### Taxonomic composition of ichthyoplankton

During the oceanographic survey in September 1998, 42 positive samples (from a total of 48) of fish larvae were collected which represent an occurrence frequency of 93.3%. A total of 189 larvae were separated, 13 taxa identified, representing 68.9% of total larvae (Table 1). Dominant species were *Diogenichthys laternatus* (28.6%), *Bathylagus nigrigenys* (21.8%), *Engraulis ringens* (17%) and *Diogenichthys atlanticus* (6.9%). In January 1999, only 30 positive samples of fish larvae were collected out of a total of 48 samples, representing an occurrence frequency of 62.5%. A total of 350 larvae were separated, and 11 taxa identified, representing 34.5% of total larvae (Table 1). Again, larval taxa collected correspond to *D. laternatus* (28.5%), *E. ringens* (21.7%), *B. nigrigenys* (11.2%), *S. sagax* (9.6%) and *D. atlanticus* (3.8%).



**Figure 3.** a) Temperature, dissolved oxygen, b) and chlorophyll-*a* c) water column profiles from stations sampled (inside/outside) upwelling filament off Punta Angamos during September 1998 and January 1999.

### Larval distribution

Fish larval abundance showed differences at both locations. In September 1998, higher larval fish abundance was collected in stations located outside the upwelling filament (*i.e.*, mean  $\pm$  SD: E3 =  $74 \pm 101$  larvae  $10 \text{ m}^{-2}$ ; E4 =  $47 \pm 47$  larvae  $10 \text{ m}^{-2}$ ) (Fig. 4). In January 1999, higher larval concentrations were found outside the filament (Fig. 4), and in a station near of it (*i.e.*, E2 =  $210 \pm 356$  larvae  $10 \text{ m}^{-2}$ ; E3 =  $162 \pm 303$  larvae  $10 \text{ m}^{-2}$  and E4 =  $62 \pm 47$  larvae  $10 \text{ m}^{-2}$ ).

Kruskal-Wallis test only showed significant effects ( $F_{[1,116]} = 8.05$ ;  $P < 0.01$ ) for larval abundance during day and night, as well as among depth strata during September 1998 ( $F_{[1,116]} = 4.64$ ;  $P < 0.05$ ), this difference in abundance is explained by the high variability observed in the deep layer (80-200 m) ( $P = 0.005$ ; Tukey *post-hoc*). In January 1999, significant effects were found inside and outside the upwelling filament as well as among strata ( $P < 0.05$ ) and significant effects were observed in the interactions between factors (upwelling\*strata) ( $F_{[2,107]} = 4.02$ ;  $P < 0.05$ ) and (strata\*h) ( $F_{[2,107]} = 3.22$ ;  $P < 0.05$ ) on larval fish abundance. Interactions suggest that the location of the sampling station together with the effect of day-night explains a significant percentage of the observed variability.

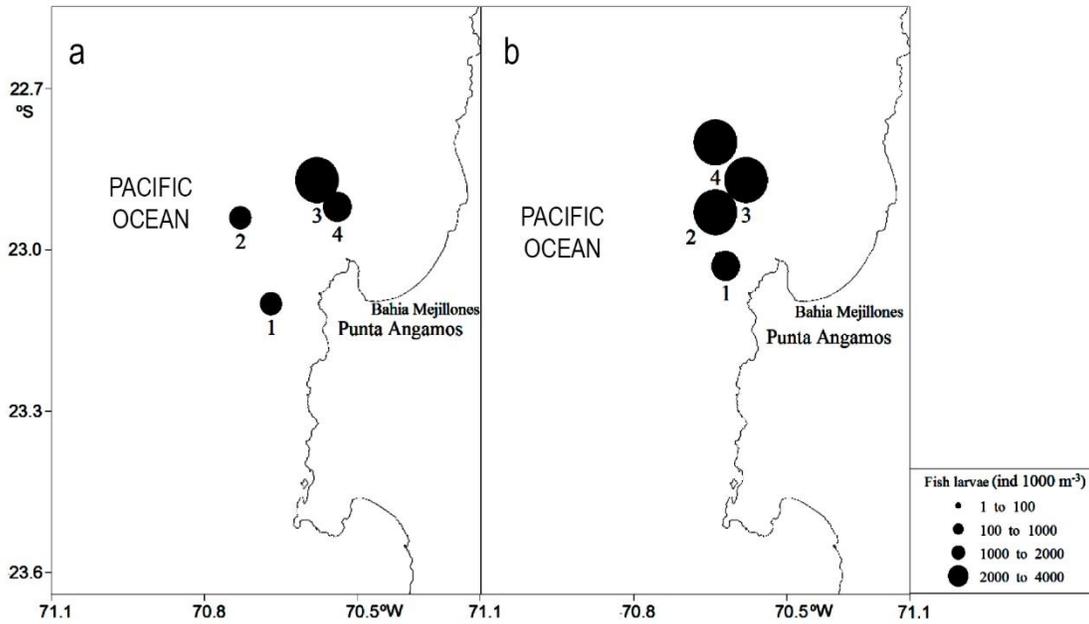
### Vertical distribution of fish larvae

In September 1998, higher fish larvae abundance was found during the night in the mid (20-80 m) and deep stratum (80-200 m) outside the upwelling filament (Fig. 5). In January 1999, the highest larval abundance was collected during the night in the 20-80 m stratum in both zones, and in deeper waters inside the filament larvae were collected only during daylight (Fig. 5). Low fish larvae abundance ( $\sim 200$  larvae  $1000 \text{ m}^{-3}$ ) was observed in the upper and middle strata (0-20 and 20-80 m) in upwelled and non-upwelled waters during daytime sampling.

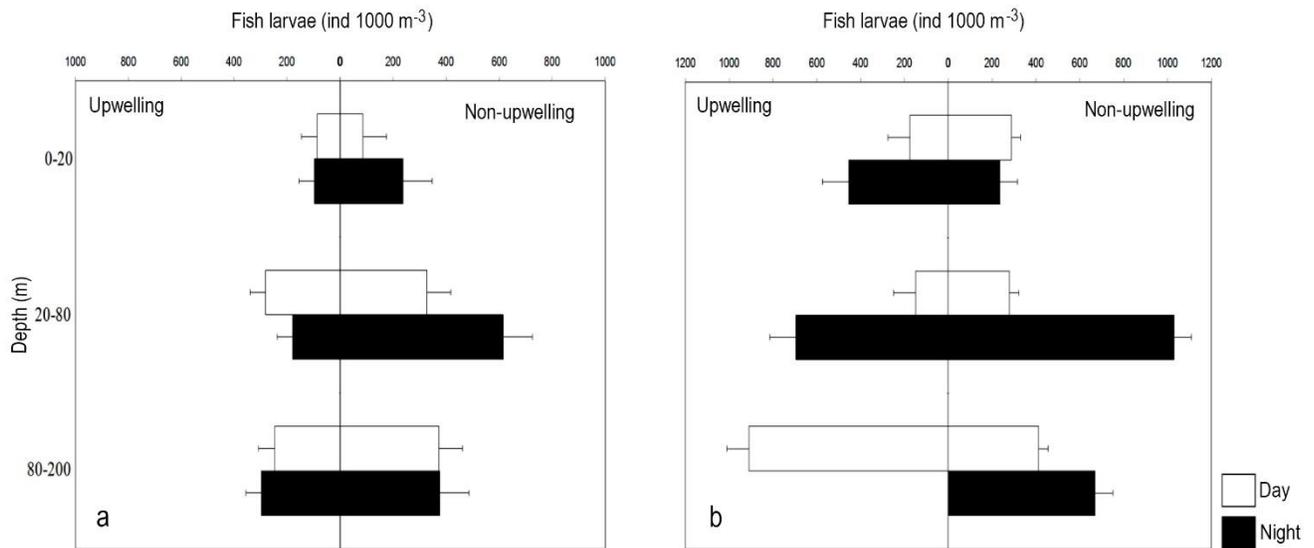
In September 1998, the mid-depth layer (20-80 m) showed higher number of taxa (12), mainly associated with non-upwelling waters (Table 2). Larval *D. laternatus*, *B. nigrigenys* and *Diogenichthys* sp. were collected in all strata. However, only *D. laternatus* was found throughout the sampled water column inside and outside the upwelling plume. Myctophids *Hygophum reinhardti* and *Protomyctophum crockeri* were scarcely collected and found in mid-depth of non-upwelling waters. Larval mote sculpin *Normanichthys crockeri* were also collected in mid-depth of the upwelled waters. In January 1999, myctophids *D. laternatus*, *D. atlanticus*, *Diogenichthys* sp., *Diaphus theta*, *Lampa-*

**Table 1.** Total fish larvae collected (inside/outside) for upwelling filaments off Punta Angamos in September 1998 and January 1999. The number corresponds to larvae per 10 m<sup>2</sup>. Occurrence corresponds to number of positive samples (total samples = 48) in which the species was present.

Family	Taxa	September 1998				January 1999			
		Upwelling		Non-upwelling		Upwelling		Non-upwelling	
		Maximum abundance	Occurrence						
	<i>Diogenichthys atlanticus</i>	54	13	70	22	19	4	135	35
	<i>Diogenichthys laternatus</i>	76	39	545	44	123	13	269	30
	<i>Triphoturus oculatus</i>	-	-	28	4	27	4	79	13
	<i>Diaphus theta</i>	-	-	-	-	72	17	101	13
	<i>Lampanyctus</i> sp.	-	-	84	22	19	4	158	39
	<i>Diogenichthys</i> sp.	36	4	88	32	-	-	-	-
	<i>Protomyctophum crockeri</i>	-	-	84	4	-	-	-	-
	<i>Hygophum reinhardtii</i>	-	-	28	4	-	-	-	-
	<i>Myctophum nitidulum</i>	-	-	35	13	-	-	25	4
	<i>Ceratospelus townsendi</i>	-	-	-	-	-	-	26	4
	Unidentified sp. 1	82	20	-	-	49	17	-	-
	Unidentified sp. 2	-	-	195	40	-	-	173	39
Engraulidae	<i>Engraulis ringens</i>	28	4	38	4	1119	39	1364	39
Clupeidae	<i>Sardinops sagax</i>	28	9	92	26	93	35	148	43
Bathylagidae	<i>Bathylagus nigrigenys</i>	54	17	397	40	34	9	48	13
Normanichthyidae	<i>Normanichthys crockeri</i>	41	13	-	-	47	9	-	-
Merlucciidae	<i>Merluccius gayi</i>	27	4	38	9	-	-	27	4
Macrouridae	Unidentified sp.	76	4	16	4	-	-	42	4



**Figure 4.** Spatial distribution and abundance of fish larvae in upwelling filaments, during September 1998 and January 1999 cruises off Punta Angamos.



**Figure 5.** Vertical distribution of fish larvae (inside/outside) in the cold water filament, during diurnal *versus* nocturnal collection in a) September 1998 and b) January 1999. Bars indicate values of one standard error.

*nyctus* sp. and small pelagic *E. ringens* and *S. sagax* were collected in all three strata. However, only *S. sagax* was found in all three layers both inside and outside of the upwelling plume (Table 2). *N. crockeri* larvae were found only in the upper (0-20 m) and mid-depth strata of upwelled waters, while *Myctophum nitidulum*, *Merluccius gayi* and *Ceratoscopelus townsendi* were poorly represented in this cruise.

**Daily changes in the vertical distribution of fish larvae**

The three most abundant species were collected on surface waters (WMD was located between 10 and 72 m (range = 62 m) at night and deeper (WMD was located between 14 and 139 m (range = 125 m) during daylight. Late stage larval (postflexion) showed wider amplitude in vertical distribution during day and night

(WMD was located between 10 and 96 m (range = 86 m). Small pelagic larvae (*E. ringens*) during spring showed significant differences ( $F_{[1,48]} = 58.85$ ;  $P < 0.05$ ) among depth during day/night sampling as well as differences at depth for early and late-stage larvae ( $F_{[1,48]} = 5.80$ ;  $P < 0.05$ ) (Fig. 6). In summer larval *E. ringens* showed significant differences ( $F_{[1,48]} = 89.61$ ;  $P < 0.05$ ) among depth strata only during day/night catch. Larval myctophid *D. laternatus* showed significant differences among depth during sampling hours (day/night) (K-W test;  $P < 0.05$ ), and inside/outside upwelled plume at this cruise (Fig. 6). Larval *B. nigrigenys* collected at spring showed significant differences ( $F_{[1,49]} = 78.11$ ;  $P < 0.05$ ) over depth during day/night sampling. Finally, *B. nigrigenys* larvae collected during summer showed significant differences ( $F_{[1,36]} = 17.55$ ;  $P < 0.05$ ) at vertical distribution, being found at shallower waters at day and deeper at nighttime (Fig. 6).

### Environmental relationships

The similarity dendrogram (~82 %) shows three groups of fish larvae of different habitats in the adult stage (Fig. 7a). Group 1 was represented by two coastal pelagic species (*Engraulis ringens* and *Sardinops sagax*). Additionally, this group included myctophid *Diaphus theta* of epi-mesopelagic origin. All these species were found at stations under the influence of upwelling filament and coastal front. Group 2 was represented mostly by myctophids epi-mesopelagic, as well as some species of meso-bathypelagic habits (*Bathylagus nigrigenys*) and bathydemersal (*Merluccius gayi*). These species were found exclusively associated with upwelling front warm waters. Finally, Group 3 was represented by a single demersal species *N. crockeri* present in a zone influenced by cold water from upwelling filament. Cluster analyses also indicated the presence of two larval depth assemblages: <100 m and >100 m (Fig. 7b).

BIO-ENV and correlation analyses revealed significant relationships among several environmental factors and larval average concentrations. A depth-stratified BIO-ENV analysis, which included mean temperature ( $^{\circ}\text{C}$ ), mean dissolved oxygen ( $\text{mL L}^{-1}$ ) and chlorophyll ( $\text{mg m}^{-3}$ ) of each depth-stratified sample (*i.e.*, 0-20; 20-80 and 80-200), showed that depth alone explained 44% in mean larval concentrations. No multiple factor combination explained more variability in larval concentration data. Pairwise correlation analyses revealed significant negative correlations between temperature and mean concentrations of small pelagic *E. ringens* (three strata), *S. sagax* (only upper water) and Myctophid *D. atlanticus* (upper/midwater). Significant negative correlations ( $P < 0.05$ ) were seen between *B. nigrigenys* (midwater) and *S. sagax*

(deepwater) with dissolved oxygen and chlorophyll (Table 3). Average concentrations of *N. crockeri* (three strata) and *D. laternatus* (upper/midwater) larvae, were also positively correlated with temperature and negatively correlated with chlorophyll, although the correlations were not significant ( $P > 0.05$ ).

## DISCUSSION

### Physical conditions

The distinctive feature of the Humboldt Current System in northern Chile is the strong oceanographic mesoscale activity in the region, registered from satellite images during the plankton samples collection (Marin *et al.*, 2001), and from changes in hydrographic conditions during upwelling process. Normally, an increase wind stress about sea surface layer favors the cold filament formation, as extends until 60 km offshore, clearly visible from sea surface temperature satellite images. This structures type, as well as meanders of cyclonic eddies and upwelling shadows have been described previously (Escribano & Hidalgo, 2000; Rojas *et al.*, 2002; Marin *et al.*, 2003).

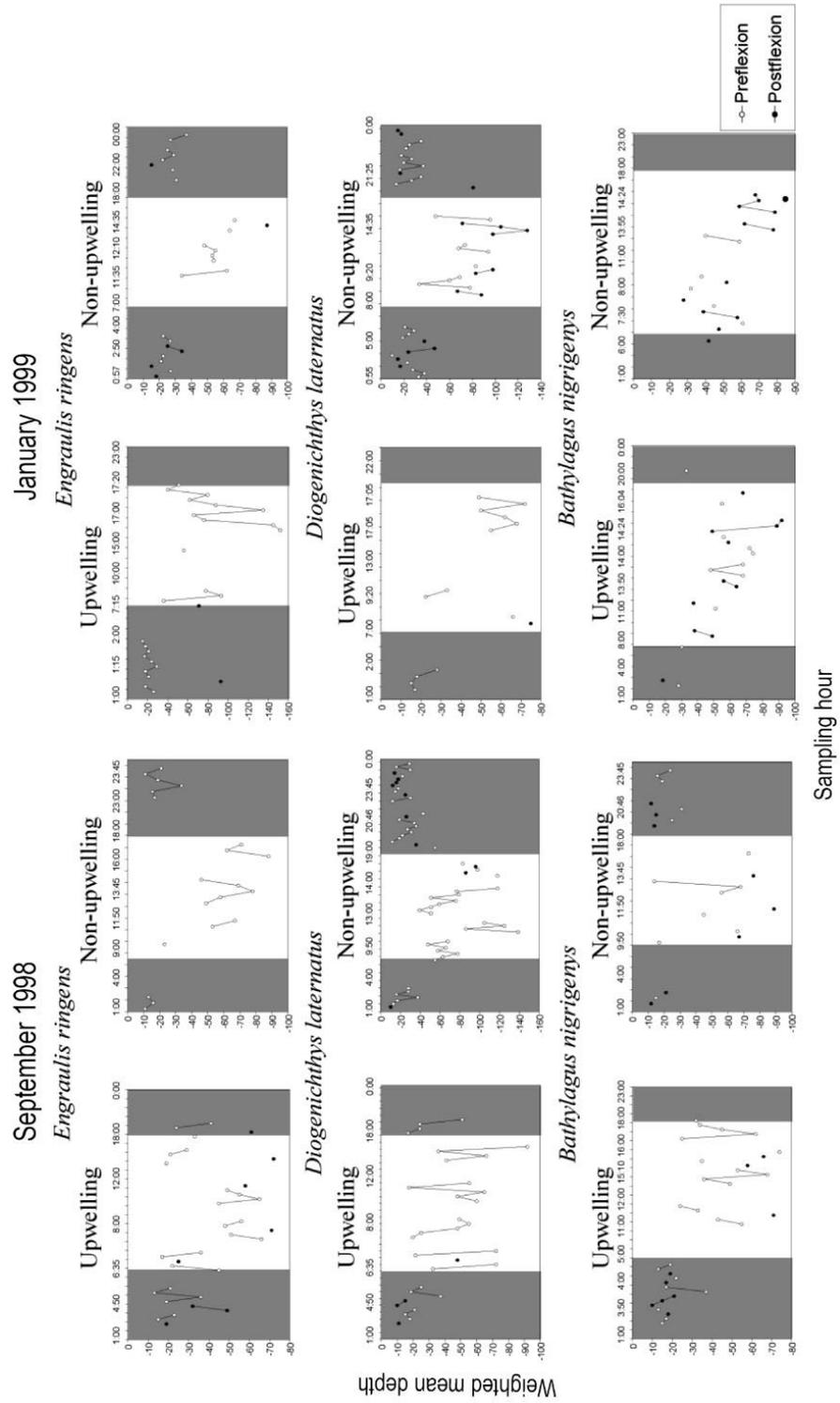
### Community structure in the upwelled filaments

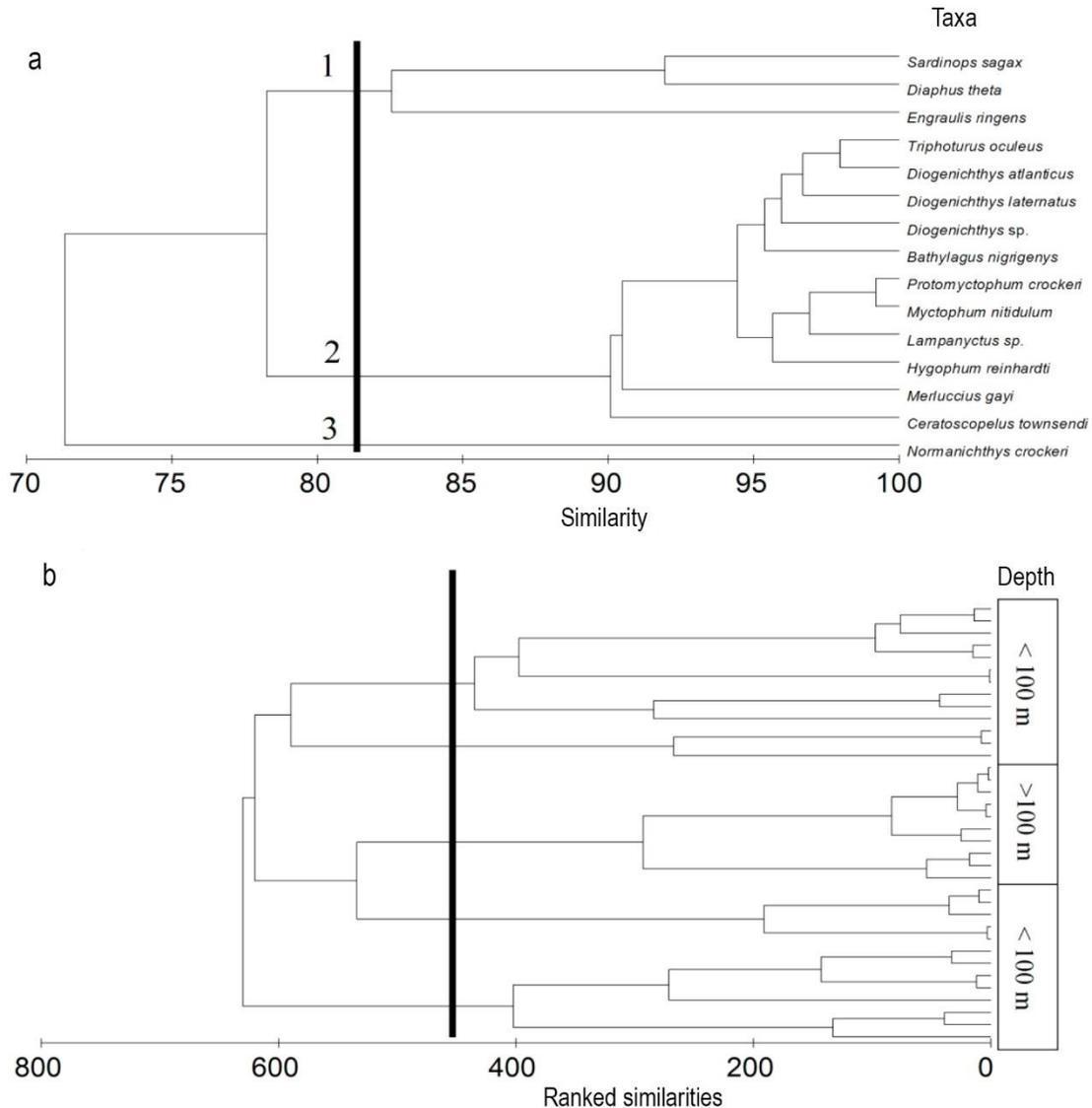
The highest fish larval density (96%) was found in the first 100 m of the water column, a feature documented by other studies (Auth & Brodeur, 2006; Landaeta *et al.*, 2008). The ichthyoplanktonic taxocenosis found off Punta Angamos (Peninsula de Mejillones) showed a mixture of coastal and oceanic species (epipelagic, epi-mesopelagic and mesopelagic). However, it is not possible to see a spatial gradient distribution, due to presence of epi-mesopelagic oceanic species nearshore (*i.e.*, *D. laternatus* and *D. atlanticus*) and some coastal species (*i.e.*, *E. ringens* and *S. sagax*). A dense aggregation of phytoplankton and zooplankton associated to the upwelling filament formation suggests that areas near it are suitable habitat for fish larvae feeding, increasing their survival probability (Escribano & Hidalgo, 2000). However, results do not show a clear relationship among larval abundance with high chlorophyll concentrations, because coastal fronts may modify the chlorophyll spatial distribution toward Bahia Mejillones. This suggests that the relationship between coastal species with high primary productivity areas would be too fragile if fish larvae have not developed tactics and/or mechanisms that avoid or reduce offshore horizontal transport, facilitating their retention in shallow areas nearshore (Pringle, 2007; Morgan & Fisher, 2010). Usually, *E. ringens* is found in shallow areas nearshore (Castro *et al.*, 2000), however the low abundance of anchoveta larvae in the study area, suggests that a large number have been

**Table 2.** Location of different taxonomic groups, per strata depth, in September 1998 and January 1999 (inside/outside upwelling filament). The abundance corresponds to (N = number per 1.000 m<sup>3</sup>) and occurrence (%) represents the number of cases (samples = 48) in which the species was present.

Stratum (m)	Taxa	September 1998				January 1999			
		Upwelling		Non-upwelling		Upwelling		Non-upwelling	
		N	%	N	%	N	%	N	%
0-20	<i>Diogenichthys laternatus</i>	195	9	65	9	162	9	-	-
	<i>Diogenichthys atlanticus</i>	-	-	-	-	-	-	32	4
	<i>Diogenichthys</i> sp.	-	-	27	4	-	-	-	-
	<i>Lampanyctus</i> sp.	-	-	-	-	-	-	32	4
	<i>Diaphus theta</i>	-	-	-	-	121	9	101	4
	<i>Mictophum nitidulum</i>	-	-	34	4	-	-	-	-
	<i>Engraulis ringens</i>	-	-	-	-	82	4	32	4
	<i>Sardinops sagax</i>	-	-	-	-	227	18	217	18
	<i>Bathylagus nigrigenys</i>	-	-	478	13	-	-	-	-
	<i>Normanichthys crockeri</i>	41	4	-	-	47	4	-	-
	Myctophidae Unidentified	332	30	198	13	134	13	50	4
20-80	<i>Diogenichthys laternatus</i>	114	17	1179	30	19	4	675	17
	<i>Diogenichthys atlanticus</i>	78	9	198	13	19	4	237	17
	<i>Triphoturus oculus</i>	-	-	28	4	27	4	27	4
	<i>Mictophum nitidulum</i>	-	-	64	9	-	-	25	4
	<i>Hygophum reinhardti</i>	-	-	28	4	-	-	-	-
	<i>Diaphus theta</i>	-	-	-	-	50	9	27	4
	<i>Diogenichthys</i> sp.	36	4	201	17	-	-	-	-
	<i>Protomyctophum crockeri</i>	-	-	84	4	-	-	-	-
	<i>Lampanyctus</i> sp.	-	-	189	17	19	4	162	17
	<i>Engraulis ringens</i>	28	4	38	4	64	9	39	4
	<i>Sardinops sagax</i>	-	-	38	4	236	22	290	9
	<i>Bathylagus nigrigenys</i>	54	4	641	22	-	-	354	18
	<i>Normanichthys crockeri</i>	25	4	-	-	19	4	-	-
	<i>Merluccius gayi</i>	27	4	38	4	-	-	27	4
Myctophidae Unidentified	199	17	650	22	19	4	282	13	
Macrouridae Unidentified	76	4	-	-	-	-	-	-	
80-200	<i>Diogenichthys laternatus</i>	98	17	250	22	-	-	391	13
	<i>Diogenichthys atlanticus</i>	24	4	81	9	-	-	114	13
	<i>Triphoturus oculus</i>	-	-	-	-	-	-	101	9
	<i>Diaphus theta</i>	-	-	-	-	-	-	22	4
	<i>Diogenichthys</i> sp.	-	-	49	9	-	-	-	-
	<i>Ceratoscopelus townsendi</i>	-	-	-	-	-	-	26	4
	<i>Lampanyctus</i> sp.	-	-	55	4	-	-	252	17
	<i>Engraulis ringens</i>	-	-	-	-	91	4	-	-
	<i>Sardinops sagax</i>	-	-	41	9	54	9	26	4
	<i>Bathylagus nigrigenys</i>	92	17	152	17	-	-	-	-
	<i>Normanichthys crockeri</i>	19	4	-	-	-	-	-	-
	<i>Merluccius gayi</i>	-	-	16	4	-	-	-	-
	Myctophidae Unidentified	106	22	165	17	-	-	158	22
Macrouridae Unidentified	-	-	16	4	-	-	42	4	

**Figure 6.** Weighted mean depth (WMD) of three larval species during day/night sampling in September 1998 and January 1999. The dark areas indicated sampling during night hours. Open and dark circles, correspond to pre- and postflexion larval stage.





**Figure 7.** Bray-Curtis dendrograms of a) fish larval taxa, and b) larval depth. Clusters were performed on fish larvae collected from four stations (inside/outside) upwelling plume off Punta Angamos in September 1998 and January 1999. Taxon a) and depth b) cluster identifications are indicated on each figure.

transported from inshore to adjacent zones of the upwelling filament, condition which was also seen in fish larvae in the Canary Upwelling System (Brochier *et al.*, 2011).

In both cruises the highest larval densities were found in non-upwelling waters (middle/deep strata) below the Ekman layer (>20 m), habitat continually affected by short-term variations as well oceanographic conditions changes due to circulation in the area (Marín *et al.*, 2001). Myctophids *D. laternatus*, *D. atlanticus*, *Triphoturus oculus*, *D. theta*, among others, have been previously reported by several authors in the area (Rojas *et al.*, 2002; Rodríguez-Graña & Castro, 2003) and are associated with warm-water increasing their

abundance. The significant presence of myctophid fish larvae found in the warm epipelagic layer (Sassa, 2001; Sassa *et al.*, 2002a, 2004b; Moku *et al.*, 2003), likely coincide with spawning periods during most of the year (Gjösæter & Kawaguchi, 1980; Olivar & Beckley, 1994). Small pelagics (*E. ringens* and *S. sagax*) generally associated with cold upwelled water (Loeb & Rojas, 1988; Bécognée *et al.*, 2009) were seen preferentially at warm waters, probably because of the mechanical effect exercised by cold water upwelling which facilitates larval transport nearshore (Rodríguez *et al.*, 1999).

From an ecological perspective, the occurrence of *D. laternatus* and *D. atlanticus* larvae in upwelling/

**Table 3.** Correlation coefficients for the fish larvae collected in stratified sample with three oceanographic variables during the day and night (inside/outside) in upwelling plume off Punta Angamos in September 1998 and January 1999: water temperature ( $^{\circ}\text{C}$ ), dissolved oxygen ( $\text{mL L}^{-1}$ ) and chlorophyll-*a* ( $\text{mg m}^{-3}$ ), and log-transformed densities (number per 1000  $\text{m}^3$ ) of fish larvae present in all three strata. \* $P < 0.05$ .

Stratum (m)	Taxa	Temperature	Dissolved oxygen	Chlorophyll- <i>a</i>
0-20	<i>Diogenichthys laternatus</i>	0.10	0.06	-0.11
	<i>Diogenichthys atlanticus</i>	-0.66*	0.11	-0.17
	<i>Engraulis ringens</i>	-0.85*	-0.52	0.41
	<i>Sardinops sagax</i>	-0.70*	0.01	-0.16
	<i>Bathylagus nigrigenys</i>	-0.78*	-0.30	0.37
	<i>Normanichthys crockeri</i>	0.52	0.06	-0.06
20-80	<i>Diogenichthys laternatus</i>	0.23	0.07	-0.40
	<i>Diogenichthys atlanticus</i>	-0.51	-0.39	-0.54*
	<i>Engraulis ringens</i>	-0.83*	-0.83*	-0.60*
	<i>Sardinops sagax</i>	-0.45	-0.45	0.47
	<i>Bathylagus nigrigenys</i>	-0.65*	-0.54*	-0.61*
	<i>Normanichthys crockeri</i>	0.45	-0.45	-0.47
80-200	<i>Diogenichthys laternatus</i>	-0.29	0.20	-0.40
	<i>Diogenichthys atlanticus</i>	-0.78*	-0.81*	0.68
	<i>Engraulis ringens</i>	-0.70*	-0.80*	0.53
	<i>Sardinops sagax</i>	-0.68	-0.78*	-0.52*
	<i>Bathylagus nigrigenys</i>	0.17	-0.10	0.32
	<i>Normanichthys crockeri</i>	0.48	-0.48	-0.39

non-upwelling conditions might be the result of successive adaptations to this environment. *B. nigrigenys* and *M. nitidulum* larvae showed preferences for warmer waters due to larval abundance in non-upwelling water. On the contrary, *N. crockeri* was found exclusively in cold upwelled water. Effects of ontogeny, temperature, and light might explain partially the changes in migration patterns of fish larvae (Hurst *et al.*, 2009). However, the distribution patterns in adult species vary according to eating habits and life history (Watanabe *et al.*, 1999).

#### Diel variation and ontogenetic migration

Early stages of fish in presence of cold water upwelled showed a minimum difference in the average depth during night. Fish larvae were found in shallow layers (~40 m) where the drift effects would be less intense. However, during daylight only some late-stage larvae (postflexion) were found in deeper layers (~70-80 m) that match with compensatory flow coastward. Our hypothetical approach suggests that larvae with a morphological advanced development are located deepwater during daytime that facilitate eventually the return of fish larval to inshore zones using a compensatory deep flow. Thus, results obtained from cruises on 9 September (1998) and 11 January (1999) showed a trend towards a diel vertical migration and/or ontogenetic migration; this behavior would be independent of condition type (upwelling/non-upwelling)

as well as from hydrographic conditions observed during active upwelling events off Punta Angamos. However, in another regions with long periods of active upwelling the traditional migratory model (down at day, up during the night) would be partially affected, as evidenced the change in the migratory behavior (down at night, up during the day) seen in some myctophids larvae (Rodríguez *et al.*, 2006; Auth *et al.*, 2007). In general, it is well documented that a large number of fish larvae are visual predators concentrating its feeding rhythms during the daylight hours or during dusk and dawn hours (Hunter, 1984). Thus, behavior migratory of some myctophid larvae collected for both periods off Punta Angamos seems given by daily feeding cycles, with higher ingestion of food during daylight hours (Rodríguez-Graña & Castro, 2003; Rodríguez-Graña *et al.*, 2005; Sassa & Kawaguchi, 2005).

The cross-shelf currents derived from the upwelling process may cause significant offshore transport of fish larvae as well as mortality due to low food availability (Pizarro *et al.*, 1998). Off Punta Angamos, especially during the active upwelling phase in September (1998), the mechanical effect generated for cold-water upwelling and the current velocity ( $\sim 0.1 \text{ m s}^{-1}$ ) of the surface layer (~0-20 m) may transport offshore large numbers of larvae, decreasing their survival probability. On the contrary, a significant number of fish larvae could remain there either by staying deep in the water column or by undertaking diel vertical

migrations between surface and bottom currents (Roughan *et al.*, 2005). This evidence may partially explain the presence of fish larvae in the focus of the upwelling during both periods. We believe that ichthyoplankton sampling should be conducted primarily at night if at all possible to eliminate any potential bias due to net avoidance. Net avoidance should at least be factored into any model estimating abundances and depth distributions of larvae collected during both day and night. Although this study was not designed to determine the underlying causes of the DVM of larval fish, it does provide some evidence for type-I DVM (up at night, down during the day) for *E. rigens*, *D. laternatus* and *B. nigrigenys* larvae during active events of upwelling off Punta Angamos, despite not finding evidence for either type of DVM for larval *E. ringens* in previous studies (Rojas *et al.*, 2002; Rodríguez-Graña & Castro, 2003). This type-I DVM could help to partially explain the retention of larval fish assemblages, in particular *E. ringens* larvae, close to recruitment areas along and inshore from the shelf due to the ability of larvae to regulate their position in the water column and to take advantage of selective Ekman transport (Sakuma & Larson, 1995; Stenevik *et al.*, 2007; Morgan *et al.*, 2009; Morgan & Fisher, 2010) related to water flows generated during active and/or relaxation phase (*i.e.*, spin-up/spin-down phases) of upwelling events (Send *et al.*, 1987) off Punta Angamos.

### CONCLUSIONS

In this study the vertical distribution of fish larvae during coastal upwelling in September 1998 and January 1999 off Punta Angamos showed changes during day/night suggesting diel vertical migration as a strategy to avoidance of the offshore Ekman layer during upwelling events; this migratory behavior would be independent of the condition type (upwelling/non-upwelling) as well as from hydrographic conditions registered at both oceanographic surveys. Early stages of fish larvae during daylight were found at an average depth which coincides with the zone boundary among the Ekman layer and the polar flow that dominates the circulation, while some late-stage larval were found at an average depth that coincide with the Ekman layer. The mixed coastal and oceanic species of fish larvae found off Punta Angamos indicated the importance of this local upwelling focus for the development of larval fish assemblages in northern Chile.

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